



SHO-0020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kazuo OKADA

Application No.: 10/697,946

Filed: October 31, 2007

For: GAMING MACHINE

Attorney Docket No.: SHO-0020

Examiner: D. W. Duffy

Art Unit: 3714

Confirmation No.: 8922

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner of Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

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02 FC:1806 180.00 DA

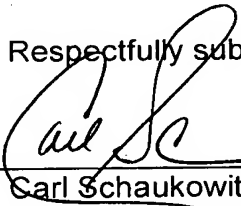
Concise Explanation of Relevancy of the Document

- ☒ Any document having neither English translation nor English abstract has been cited in a communication from a foreign patent office in a counterpart foreign application or its related application, a copy of which is attached hereto, accompanied by English translation of at least relevant part, if it is not in English. English translation of the document is not readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).
- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application (37 C.F.R. §1.97(c)). Please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §1.17(p).
- ☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013, as needed, to ensure consideration of the disclosed information.

Date: May 7, 2007

Respectfully submitted,

By:


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ALTERNATIVE TO PTO/SB/08A/B (09/06)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/973,247-Conf. #2765
				Filing Date	October 31, 2003
				First Named Inventor	Kazuo Okada
				Art Unit	3714
				Examiner Name	D.W. Duffy
Sheet	1	of	1	Attorney Docket Number	SHO-0033

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
/	BA	JP 2002-058788	02-26-2002	Fujisawa Teruo			
/	BB	JP 2001-095983	04-10-2001	Aruze Corp		✓	
/	BC	JP 2001-062032	03-13-2001	Yamasa KK			
/	BD	JP 2000-107377	04-18-2000	Daiichi Shokai Co Ltd			
/	BE	JP 07-124290	05-16-1995	Olympia: KK			
/	BF	JP 03-186286	08-14-1991	Daiichi Shokai: KK			

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Japanese Office Action dated February 13, 2007 for patent application number JP 2002-240447	✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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